

# Employee Post-Travel Disclosure of Travel Expenses

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**Post-Travel Filing Instructions:** Complete this form within 30 days of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
- ☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): IP Investment Institute LLC

Travel date(s): 11/15/17-11/16/17

Name of accompanying family member (if any): N/A

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

## Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	\$215.00 (actual)	\$238.10 (actual)	\$75.00 (est.)	n/a

## Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):

Panel presentations on "IP Market Roundup: Annual Review and Outlook," "Examining IP Policy under Trump," "IP Monetization Strategy in Europe," and "The Great Debate: How to Define Patent Quality."

12/14/17  
(Date)

Jamie Simpson  
(Printed name of traveler)

[Signature]  
(Signature of traveler)

## TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

12/14/17  
(Date)

[Signature]  
(Signature of Supervising Senator/Officer)

## EMPLOYEE PRE-TRAVEL AUTHORIZATION

Date/Time Stamp:

**Pre-Travel Filing Instructions:** Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at [ethics.senate.gov](http://ethics.senate.gov). Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Jamie Simpson

Employing Office/Committee: Senate Judiciary Committee

Private Sponsor(s) (list all): IP Investment Institute LLC

Travel date(s): 11/15/17-11/16/17

*Note: If you plan to extend the trip for any reason you must notify the Committee.*

Destination(s): New York, New York

Explain how this trip is specifically connected to the traveler's official or representational duties:

The conferece is on the licensing of intellectual property and related issues, which is related to my work on the committee as counsel to provide advice on patent, copyright, and trademark law and policy concerns. Speaking at the conference will also help foster a better understanding of the issues on which the committee is working.

Name of accompanying family member (if any): N/A

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

10/5/17  
(Date)

  
(Signature of Employee)

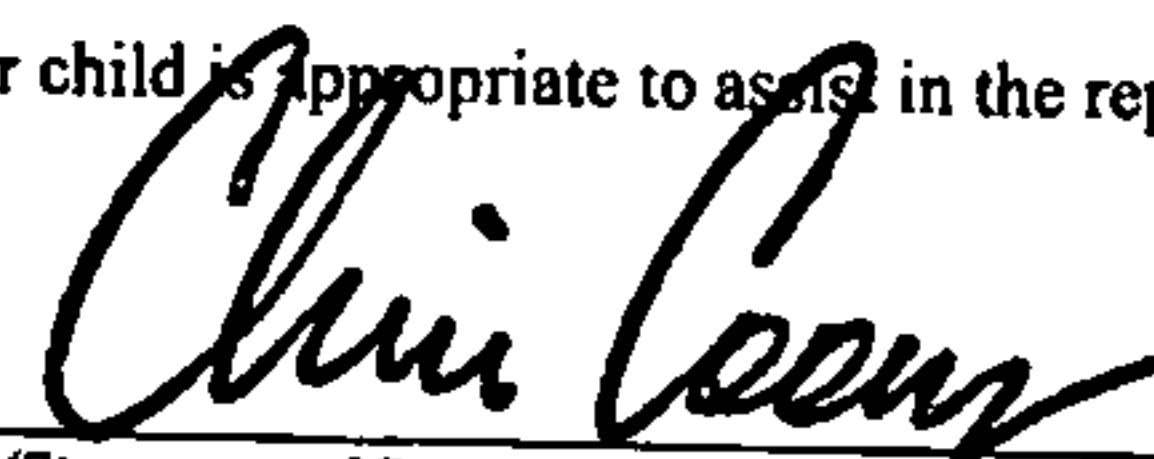
TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Chris Coons hereby authorize Jamie Simpson  
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

10/5/17  
(Date)

  
(Signature of Supervising Senator/Officer)



Ms. Jamie Simpson  
Counsel Detailee, Senate Judiciary Committee  
United States Senate

Delivered via email:  
Jamie\_Simpson@judiciary-dem.senate.gov

July 21, 2017

Dear Ms. Simpson:

On behalf of the IP Dealmakers Forum, I would like to invite you to participate as a speaker at this year's event, to be held on November 16-17 at the Apella Event Center in New York City.

Specifically, we request your participation as a speaker on "Examining IP Policy Under Trump." Some of the topics we plan to discuss include: Changes at the USPTO; Legislative and Executive Actions: Review and Predictions; and Interplay with Tax and Trade Policies.

We have attached a draft agenda and event prospectus for your reference. You may also access event information at [www.IPdealmakersforum.com](http://www.IPdealmakersforum.com).

In just a few short years, the IP Dealmakers Forum has become a must-attend event and the definitive gathering of complex IP monetization experts and public and private market investors. The event attracts an elite set of 200 executives involved in the IP transactions market that come together to exchange information and best practices, as well as further dealmaking during our signature one-to-one meetings. Past keynotes have included Jay Walker, Founder of Priceline and Executive Chairman of Patent Properties and TEDMED, and Edward Jung, Intellectual Ventures Founder and Chief Technology Officer, and former Chief Architect of Microsoft.

The IP Dealmakers Forum is hosted by the IP Investment Institute, a for-profit enterprise. However, as a speaker, you are not required to submit a registration fee and are welcome to attend the entire event as our guest.

Please let me know if you have any questions or require additional information. I look forward to your response.

Regards,  
Wendy Chou

Co-Founder, IP Dealmakers Forum  
[wendy@ipdealmakersforum.com](mailto:wendy@ipdealmakersforum.com)



## IP DEALMAKERS FORUM

### Itinerary for Jamie Simpson Counsel Detailee, Senate Judiciary Committee

#### **DAY ONE: WEDNESDAY, NOVEMBER 15TH**

6:30pm – 9:30pm

**Opening Reception**

*Location: Noble Room at PS450*

#### **DAY TWO: THURSDAY, NOVEMBER 16TH**

*Location: All sessions and reception to be held at Apella Event Center*

7:15am - 8:15am

**Registration & Breakfast**

8:15am - 8:30am

**Opening Remarks**

8:30am - 9:30am

**Panel Discussion**

#### **IP MARKET ROUNDUP: ANNUAL REVIEW & OUTLOOK**

- IP Transactions Data and Key Players
- New and Changing Business Models
- What Drove Activity in 2017
- Top Predictions for 2018

#### **Speakers**

Michael Nicolas, Co-Founder & Managing Director, Longford Capital

Michael Shore, Partner, Shore Chan DePumpo

Erich Spangenberg, Managing Director, SK14 Advisors S.a.r.l.

Gerhard Tschiedel, Senior Licensing Counsel, Siemens AG

Fred Fabricant, Partner, Brown Rudnick (moderator)

9:30am - 10:15am

**Dealmaker Deepdive**

#### **EXAMINING IP POLICY UNDER TRUMP**

- Changes at the USPTO
- Legislative and Executive Actions: Review and Predictions
- Interplay with Tax and Trade Policies

#### **Speakers**

Ashley Keller, Managing Director, Burford Capital





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- Real Opportunities and Challenges for Foreign Companies
  - Limitations & Lessons Learned

#### Speakers

Chris Dubuc, Managing Partner, Longhorn IP

Richard Thurston, Of Counsel, Duane Morris and former General Counsel, TSMC

Marco Tong, Senior Licensing Director, ZTE and President, Inteq

Additional speakers TBD

3:00pm – 3:30pm

*Networking Break*

3:30pm – 4:15pm

**Dealmaker Deepdive**

**IP MONETIZATION STRATEGY IN EUROPE: PENALTIES AND PAYOUTS**

- Cross-Border Litigation: Does it Really Pay
- Dealing with Loser Pays
- Particularities of European Forums: Germany, UK, France, Italy
- UPC Update and Changes to IP Strategy

#### Speakers

Yann Deitrich, SVP Licensing and IP Counsel, France Brevets

Edward Kelly, Partner, Ropes & Gray

Thomas Miller, Head of Licensing, PanOptis

Abha Divine, Managing Director, Techquity (moderator)

4:15pm - 5:30pm

**Panel Discussion**

**THE GREAT DEBATE: HOW TO DEFINE PATENT QUALITY**

- Software Patents: Patentability & Enforceability
- Impact of Recent SCOTUS & CAFC Decisions
- PTAB and IPR Update
- Legislative Reform and Other Proposed Fixes
- Innovation & Economic Consequences

#### Speakers

Aaron Fahrenkrog, Partner, Robins Kaplan

Hon. Paul Michel (ret.), Former Chief Judge of CAFC

John Mulgrew, Global Head of IP, Uber

Marian Underweiser, Chair, IPO Section 101 Taskforce & Senior Counsel, IBM

David Kappos, Partner, Cravath Swaine & former Director of USPTO (moderator)

5:30pm - 6:30pm

*Networking Cocktail Reception*

## PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): IP Investment Institute LLC
2. Description of the trip: Attending the 2017 IP Dealmakers Forum as a speaker
3. Dates of travel: 11/15/17 - 11/16/17
4. Place of travel: New York, New York
5. Name and title of Senate invitees: Jamie Simpson, Counsel Detailee to the Senate Judiciary Committee
6. I *certify* that the trip fits one of the following categories:
  - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
  - =OR=**
  - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

**=AND=**

☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
  - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
  - =AND=**
  - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

**- OR -**

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

**- OR -**

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

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11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The IP Investment Institute LLC is the company that organizes the IP Dealmakers Forum, a business conference gathering 200 intellectual property executives. The IP Investment Institute organizes all aspects of the conference, including content development and speaker and attendee invitations.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The mission of the IP Investment Institute in organizing the IP Dealmakers Forum is to provide senior intellectual property executives with the latest developments and relevant information, including policy. Having Jamie Simpson as a speaker will help attendees understand these developments and updates.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

This is the first time IP Investment Institute LLC will be sponsoring a congressional trip.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The IP Investment Institute LLC plans the IP Dealmakers Forum, which comprises two days of educational sessions, networking events, and one-to-one meetings, on an annual basis.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$238.00	\$323.00	\$75.00	
<input type="checkbox"/> Actual Amounts				

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip involves an event that is arranged or organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

The IP Dealmakers Forum has been held in New York City for 4 years since it is a convenient location for business professionals.

19. Name and location of hotel or other lodging facility:

Shelburne NYC

20. Reason(s) for selecting hotel or other lodging facility:

Located near the Apella event center where the conference is being held



21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The daily expenses are similar to the maximum per diem rates for official Federal Government travel.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Amtrak regional service business class seat will be provided.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: W. J. Chou

Name and Title: Wendy Chou, Partner

Name of Organization: IP Investment Institute LLC

Address: PO Box 962, Holbrook, NY 11741

Telephone Number: (718) 812-6707

Fax Number: N/A

E-mail Address: wendy@ipdealmakersforum.com

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NONE

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