Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp: RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
2018 AUG 14 PM 12: 14

Post-Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

☐ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☐ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Consumers' Research

Travel date(s): 7/8/2018 - 7/11/2018

Name of accompanying family member (if any): _____
Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☒ Good Faith Estimate ☐ Actual Amount	airfare $330 rental car $100	$279	$204	

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate ☐ Actual Amount				

Provide a description of all meetings and events attended. *See* Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):
A 3 day conference of working groups to discuss current consumer finance laws and the impact on consumers, better policy making tools, and leveraging data (see attached agenda)

8/14/18 — *(Date)*
Gerald Huang — *(Printed name of traveler)*
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

8/14/18
(Date)
(Signature of Supervising Senator/Officer)

(Revised 1/3/11)

Form RE-2

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Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics** in **SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Gerald Huang

Employing Office/Committee: Office of Senator David Perdue

Private Sponsor(s) (list all): Consumers' Research

Travel date(s): 7/8/2018 - 7/11/2018

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): Bretton Woods, NH

Explain how this trip is specifically connected to the traveler's official or representational duties:

Consumers' Research is hosting a conference on consumer finance law. I staff senator Perdue on Banking committee and handle consumer finance law issues.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

6/8/18
(Date)

(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I; David Perdue *(Print Senator's/Officer's Name)* hereby authorize Gerald Huang *(Print Traveler's Name)*

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. *(signify "yes" by checking box)* ☐

6/8/18
(Date)

(Signature of Supervising Senator/Officer)

(Revised 10/19/15)

Form RE-1

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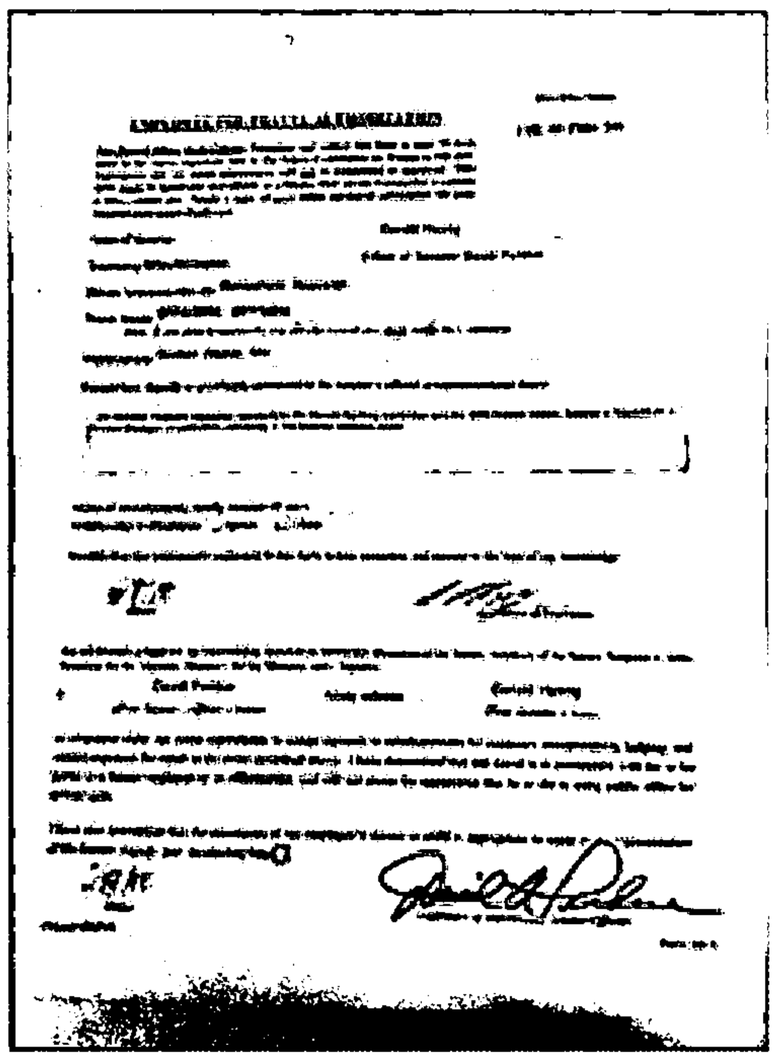

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PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

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1. Sponsor(s) of the trip (please list all sponsors): Consumers' Research

2. Description of the trip: The 2018 Bretton Woods Summit is a 25-person workshop desgined to foster a diverse dialogue examining consumer well-being in the financial services sector.

3. Dates of travel: 07/08/2018 - 07/11/2018

4. Place of travel: Bretton Woods, NH

5. Name and title of Senate invitees: See Attached

6. I *certify* that the trip fits one of the following categories:

   ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

   - OR -

   ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) *(see question 9)*.

7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

   - AND -

   ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

8. I *certify* that:

   ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

   - AND -

   ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

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9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**
I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

OR

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10)*.

OR

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**
If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Consumers' Research (CR), a 501(c)(3) nonprofit focused on consumer education, is the sole organizer for the event. CR identified and invited a thoughtful and diverse balance of participants from public, private, non-profit entities and perspectives that relate to CR's consumer education and protection mission.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

CR is an independent educational 501(c)(3) nonprofit organization whose mission is to increase the knowledge and understanding of issues, policies, products, and services of concern to consumers. The event is an annual workshop to examine consumer well-being in the financial services sector.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

CR sponsored two House or Representatives staff members in 2016 for the same event.

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15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

CR educates consumers and policy-makers though its online magazine and news articles, policy comment letters, research papers, and social media engagment.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
☒ Good Faith estimate ☐ Actual Amounts	airfare ~$330 rental car ~$100	$279	$204	

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation **or** b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip and event are arranged and organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

Selected for association with the 1944 Bretton Woods Conference - CR's Bretton Woods Summit is held in the spirit of the original conference.

19. Name and location of hotel or other lodging facility:

Omni Mount Washington, Bretton Woods, NH

20. Reason(s) for selecting hotel or other lodging facility:

Hotel selected for association with 1944 Bretton Woods Conference

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21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The lodging and meals fall within per diem rates for official Federal Government travel.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Air travel and ground transportaiton will be provided. Airfare will be coach. Car will be shared rental car with other participants.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: Kyle Burgess

Name and Title: Kyle Burgess, Executive Director

Name of Organization: Consumers' Research

Address: 1801 F Street NW, Washington, DC 20006

Telephone Number: (202) 898-0542

Fax Number:

E-mail Address: kburgess@consumersresearch.org

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PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

SIGNATURE PAGE FOR ADDITIONAL SPONSOR

(to be completed by each additional sponsor)

I hereby *certify* that the information contained on pages 1-4 of the certification form and any accompanying addenda, all submitted in connection with the _____ trip
Dates of Travel (Month Day, Year)

to _____ is true, complete, and correct.
Place of Travel

Signature of Travel Sponsor: _____

Name and Title: _____

Name of Organization: _____

Address: _____

Telephone Number: _____

Fax Number: _____

E-mail Address:
_____

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Senate Invitees for the 2018 Bretton Woods Summit:

NAME	TITLE	OFFICE
Ben Belanger	Special Assistant	Sen. Hassan
Gerald Huang	Legislative Assistant	Sen. Perdue
Saat Alety	Legislative Assistant	Sen. Scott

NOTE: Ben Belanger is a local participant and will only be sponsored by Consumers' Research for meals, which are an integral component of the workshop.

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Consumers' Research
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Omni Mount Washington Resort
310 Mount Washington Hotel Rd, Bretton Woods, NH 03575
Sunday, July 8, 2018 – Wednesday, July 11, 2018

Agenda

Time	Description	Location
Arrival: Sunday, July 8, 2018		
4:00 – 7:00 pm	Registration & Check-in	Great Hall
7:00 – 9:00 pm	Cocktail Reception & Welcome	Veranda
Day 1: Monday, July 9, 2018		
8:00 – 9:00 am	Breakfast	Jefferson Room
9:00 – 10:00 am	**Opening Remarks & Introductions** "Consumer Protection vs. Consumer Well-being: Is there a difference and does it matter?" *Kyle Burgess, Executive Director – Consumers' Research* Intros & Ice Breakers	Reagan Room

Time	Description	Location
10:00 – 11:00 pm (15 minute break)	**Session 1a**: Moving Past Dodd-Frank: Redefining consumer protection in a post "post-crisis" era	Reagan Room
11:00 – 12:30pm	**Session 1b:** Follow-on workshop session to begin framing output	Reagan Room & Adams Room
12:30 – 1:30 pm	**Lunch**	Jefferson Room
1:30 – 2:30 pm	**Session 2a**: Unintended Consequences: Assessing the long-run effects and considerations of consumer financial policies and regulation	Reagan Room
2:30 – 3:30 pm	**Session 2b:** Follow-on workshop session to work on output	Reagan Room & Adams Room
3:30 – 4:15 pm	Break	
4:15 – 5:30 pm	**Session 3a:** Evidence-Based Policymaking: The case for empirical support and impact analysis in the consumer protection	Reagan Room & Adams Room
5:30 – 6:00 pm	Break	
6:00 – 7:00 pm	Cocktail Reception	South Veranda
7:00 – 8:00 pm	Dinner	South Veranda
Day 2: Tuesday, July 10, 2018		
8:00 – 9:00 am	Breakfast	Jefferson Room

Time	Description	Location
9:00 – 11:00 am	**Session 4a:** Regulatory Sandboxes: Fostering Innovation while balancing consumer protection	Reagan Room
11:00 – 3:00 pm	**Lunch:** Extended break to explore Bretton Woods (packed lunch & optional activities)	Go explore!
3:00 – 5:30 pm	**Session 5a:** Recap of Day 1 discussions **Session 5b:** Break out into assigned guided working groups to work on output	Reagan Room & Adams Room
5:30 – 6:00 pm	Break	
6:00 – 7:00 pm	Cocktail Reception	Princess Lounge
7:00 – 8:00 pm	Dinner	Dartmouth Room

Day 3: Wednesday, July 11, 2018

7:30 – 9:00 am	Breakfast	Jefferson Room
9:00 – 10:30 am	**Session6 (plenary):** Review challenges and proposed solutions **Session 6b (working groups):** Revisit and incorporate final recommendations and real-world limitations to proposed framework	Reagan Room Reagan & Adams Room
10:45 – 11:15 am	Break	
11:15 – 12:30 pm	**Session 7:** Closing Session Discuss key takeaways, finalize terms of workshop output, solidify next steps, deadlines, and identify responsible parties for output deliverables	Reagan Room

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Time	Description	Location
Bon Voyage!		

Bretton Woods Summit Chatham House Rules: Participants are free to use the information received during the workshop, but neither the identity nor the affiliation of the speaker(s) may be revealed or attributed, unless permission to do so is granted by the speaker.

From: Beau Brunson [mailto:bbrunson@consumersresearch.org]
Sent: Thursday, June 07, 2018 1:00 PM
To: Huang, Gerald (Perdue) <Gerald_Huang@perdue.senate.gov>
Subject: Follow-up on Bretton Woods 2018 Summit Invitation - DEADLINE TODAY!

Gerald,

I know that this is very last minute, but at the suggestion of Kristine Johnson from Senate Banking, I wanted to make sure you received your invitation to the 2018 Bretton Woods Summit hosted by Consumers' Research.

The goal for this year's summit is to examine consumer well-being in the financial services sector. It's been a busy year, and in light of the recently passed Crapo Bill, I think that you would provide a particularly excellent perspective at the summit.

Since office budgets are tight, CR is able to offer a limited number of sponsorships for Hill participants. I know time is short, so if you would like to join us please let me know TODAY so I can get you the documents needed for the Ethics Committee.

Please let me know if there is any way I can help ensure your participation. I'm always available for a quick call to answer any questions you may have.

Best,

Beau Brunson

Consumers' Research

Beau Brunson
Senior Policy Advisor, Consumers' Research
p: 202-898-0542 | m: 979-492-7557 | e: bbrunson@consumersresearch.org |
a: 1801 F St NW, Third Floor, Washington, DC 20006

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dweissman@consumersresearch.org

Omni Mount Washington Resort
310 Mount Washington Hotel Rd, Bretton Woods, NH 03575
Sunday July 8, 2018 – Wednesday, July 11, 2018

Eventbrite password: W3llb3ing

Register Now

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Redefining consume

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Beau Brunson
Senior Policy Advisor, Consumers' Research
p: 202-898-0542 | m: 979-492-7557 | e: bbrunson@consumersresearch.org |
a: 1801 F St NW, Third Floor, Washington, DC 20006

Beau Brunson
Senior Policy Advisor, Consumers' Research
p: 202-898-0542 | m: 979-492-7557 | e: bbrunson@consumersresearch.org |
a: 1801 F St NW, Third Floor, Washington, DC 20006

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HART SENATE OFFICE BUILDING, ROOM 220
SECOND AND CONSTITUTION AVENUE, NE
WASHINGTON, DC 20510-6425

TELEPHONE: (202) 224-2981
FACSIMILE: (202) 224-7416
TDD: (202) 228-3752

United States Senate

SELECT COMMITTEE ON ETHICS

July 3, 2018

Gerald Huang
Office of Senator David Perdue
United States Senate
Washington, DC 20510

Dear Mr. Huang:

This responds to your recent correspondence concerning an invitation you received to travel to the *Bretton Woods Summit* in Bretton Woods, New Hampshire, on July 8-11, 2018, sponsored by Consumers' Research. Consumers' Research certified to the Select Committee on Ethics (the Committee) that it will pay the *necessary expenses*[1] related to the travel and that it is neither a lobbyist, nor lobbying firm, nor an agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. Consumers' Research has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal and that no registered lobbyist will accompany you at *any point throughout your trip.*[2]

Based on information and materials available to the Committee, and assuming the **actual** travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip **may be accepted** under relevant Senate Rules and the Committee's *Regulations and Guidelines for Privately-Sponsored Travel*, so long as at the time of the payment or reimbursement, Consumers' Research is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

Under Senate Rule 35, Senate staff must receive advance authorization signed by the Member or officer under whose direct supervision the individual works in order to accept payment or reimbursement for necessary expenses related to fact-finding travel. Further, such authorization and expenses must be disclosed to the Secretary of the Senate by filing the completed *Employee Pre-Travel Authorization* and the *Employee Post-Travel Disclosure of Travel Expenses* (Form RE-1 and Form RE-2), along with a copy of the *Private Sponsor Travel*

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[1] The term "necessary expenses" has a specific definition. *See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms* at 8.

[2] The term "any point throughout your trip" has a specific definition. *See id.* at 2.

Certification Form, and all relevant attachments (e.g., the private sponsor's invitation and itinerary) **within 30 days of the conclusion of Privately-Sponsored Travel.**

Finally, Senate Rule 34 requires a reporting individual,[3] on his or her Financial Disclosure Report, to make an annual disclosure of the receipt of payments or reimbursements under Senate Rule 35 from a private sponsor for officially-related travel expenses where, in the aggregate, travel expenses exceed $390 from that sponsor during a calendar year. However, if a Member, officer, or employee properly reports the receipt of necessary expenses for such travel to the Secretary of the Senate within 30 days of the travel, as discussed above, the travel expenses need not be disclosed a second time on their Financial Disclosure Report.

I hope you find this information helpful. If you have any additional questions, please do not hesitate to contact the Committee.

Sincerely,

Deborah Sue Mayer
Chief Counsel and Staff Director

Enclosure: Travel Checklist

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[3] A reporting individual is someone whose salary equals or exceeds 120% of the basic rate of pay for GS-15 ($126,148 for CY 2018) or is a political fund designee and is required to file Financial Disclosure Reports.