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Employee Post-Travel Disclosure of Travel Expenses

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Post-Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Senate Working Group

Travel date(s): September 29 - September 30, 2022

Name of accompanying family member (if any): n/a

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☒ Good Faith Estimate ☐ Actual Amount	$347	$286	$116.95	

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate ☐ Actual Amount				

Provide a description of all meetings and events attended. *See* Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): Please see attached.

10/14/22 *(Date)*

Amanda Thompson *(Printed name of traveler)*

(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

10/14/22 *(Date)*

(Signature of Supervising Senator/Officer)

(Revised 1/3/11)

Form RE-2

Senate Working Group
2022 Senate Republican Banking Committee Staff Summit
September 29 – 30, 2022

Thursday, September 29, 2022

1:50 PM – 4:49 PM – Travel to New York, New York
The group traveled together on Acela #2168 to New York's Moynihan Train Hall (Penn Station) from Washington, D.C. (Union Station). There was no programming during this time.

5:00 PM – 5:30 PM – Travel to Hotel
The group traveled via pre-arranged shuttle service to the hotel. There was no programming during this time.

5:30 PM – Hotel Check-In
SpringHill Suites New York Manhattan Time Square South
338 W. 36th Street New York, NY 10018

5:45 PM – 6:00 PM – Drive to Dinner
Wells Fargo - 63rd Floor, 30 Hudson Yards, New York, New York 10001
There was no programming during this time.

6:00 PM – 8:00 PM – Dinner Discussion with Secretary William M. Daley, Vice Chairman of Public Affairs of Wells Fargo

Speakers: **James Kimmey**, Executive Director, Senate Working Group
William M. Daley, Vice Chairman of Public Affairs, Wells Fargo

Friday, September 30, 2022

6:00 AM – 7:30AM – Breakfast at hotel on own
Breakfast was provided to all hotel guests. There was no programming during this time.

7:30 AM – Depart Hotel to New York Stock Exchange
The group traveled via pre-arranged shuttle service to the New York Stock Exchange. There was no programming during this time.

8:30 AM – 9:15 AM – Discussion and tour of the New York Stock Exchange

Speakers: **John Tuttle**, Vice Chairman, New York Stock Exchange & President, NYSE Institute
Justin Durbin, Head of Policy, NYSE Institute

9:15 AM – 9:45 AM – Opening Bell and tour trading floor

9:45 AM – 10:15 AM – Travel to next meeting
The group traveled via pre-arranged shuttle service to Visa. There was no programming during this time.

10:30 AM – 11:30 PM – Discussion with Kelly Mahon Tullier of Visa, Inc.
277 Park Ave, 50th Floor, New York, New York 10172

11:30 AM – 12:00 PM – Travel to next meeting.
The group traveled via pre-arranged shuttle service to Goldman Sachs. There was no programming during this time.

12:00 PM – 1:30 PM - Lunch Discussion with Goldman Sachs
200 West Street, New York, New York 10282

Speakers: **Damien Courvalin**, Head of Energy Research & Senior Commodity Strategist, Goldman Sachs
Jeff Currie, Global Investment Research, Goldman Sachs
Richard Ramdsen, Global Investment Research, Goldman Sachs

1:30 PM – 2:00 PM – Banking Summit Wrap Up

2:00 PM – 3:00 PM – Travel to Moynihan Train Hall (Penn Station)
The group traveled via pre-arranged shuttle service to Moynihan Train Hall (Penn Station). There was no programming during this time.

3:00 PM – 5:53 PM – Travel to Washington, D.C.
The group traveled together on Acela #2163 to Washington, D.C. (Union Station) from New York's Moynihan Train Hall (Penn Station). There was no programming during this time.

Summit concludes at 2:00 PM

Print This Form... Clear Form

Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics** in **SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Amanda Thompson

Employing Office/Committee: Senate Banking Committee

Private Sponsor(s) (list all): Senate Working Group

Travel date(s): September 29 - 30, 2022

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): New York, New York

Explain how this trip is specifically connected to the traveler's official or representational duties:

I serve as Republican Communications Director for the Senate Banking Committee. My official duties involve, among other things, working on oversight and legislative matters related to the banking industry from a communications perspective. This educational trip to connect with banking industry leaders and experts will assist me in carrying out those duties.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

08/25/22
(Date)

Amanda Thompson
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Pat Toomey hereby authorize Amanda Thompson

(Print Senator's/Officer's Name) *(Print Traveler's Name)*

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (*signify "yes" by checking box*) ☐

8/25/22
(Date)

Pat Toomey
(Signature of Supervising Senator/Officer)

(Revised 10/19/15)

Form RE-1

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

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1. Sponsor(s) of the trip (please list all sponsors): Senate Working Group

2. Description of the trip: 2022 Senate Republican Banking Committee Staff Summit. This event will be for senior staff to obtain educational resources and connect with banking industry leaders and experts.

3. Dates of travel: September 29 - 30, 2022

4. Place of travel: New York, New York

5. Name and title of Senate invitees: Please see attached document

6. I *certify* that the trip fits one of the following categories:

☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

– OR –

☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) *(see question 9)*.

7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

– AND –

☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

8. I *certify* that:

☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

– AND –

☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**
I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10)*.

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**
If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Senate Working Group is the sole sponsor of this event, and has financed and organized the Summit.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

Please see attached document.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

Please see attached document.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

Please see attached document.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
☒ Good Faith estimate ☐ Actual Amounts	$370	$286	$118	

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation **or** b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The event was arranged and organized specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

Please see attached document.

19. Name and location of hotel or other lodging facility:

SpringHill Suites New York Manhattan Time Square South

338 W. 36th Street New York, NY 10018

20. Reason(s) for selecting hotel or other lodging facility:

We selected the SpringHill Suites due to its cost and location.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

All expenses are at or below per diem.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Round trip, business-class train fare, purchased by Senate Working Group.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None.

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: 

Name and Title: James Kimmey, Executive Director

Name of Organization: Senate Working Group

Address: 1100 New Jersey Ave SE, Suite 2275, Washington, DC 20003

Telephone Number: (858) 336-0293

Fax Number:

E-mail Address: james@senateworkinggroup.org

Private Sponsor Travel Certification Form
Attachment

Question 5

In response to question 5, the following individuals are invited:

Ryan Adam, Legislative Assistant, Senator Tillis

Sarah Brown, Legislative Assistant, Senator Tim Scott

Kyle Chase, Chief of Staff, Senator Rounds

Halie Craig, Senior Policy Advisor, Senate Banking Committee

John Crews, Policy Director, Senate Banking Committee

Jennifer DeCasper, Chief of Staff, Senator Tim Scott

Watson Donald, Chief of Staff, Senator Shelby

Joe Gammello, Senior Counsel, Senate Banking Committee

Kathleen Gayle, Legislative Assistant, Senator Rounds

Brad Grantz, Staff Director, Senate Banking Committee

Mark Gruman, Chief of Staff, Senator Cramer

James Kelly, Chief of Staff, Senator Moran

Alex Lepore, Detail, Senate Banking Committee

Jonathan McKernan, Senior Counsel, Senate Banking Committee

Hallee Morgan, Counsel, Senate Banking Committee

Shil Patel, Chief of Staff, Senator Tillis

Luke Pettit, Senior Policy Advisor, Senator Hagerty

Natalia Riggin, Deputy Legislative Director, Senator Kennedy

Brent Robertson, Chief of Staff, Senator Marshall

Christopher Russo, Chief Economist, Senate Banking Committee

David Stokes, Chief of Staff, Senator Kennedy

Dan Sullivan, Chief Counsel, Senate Banking Committee

Adam Telle, Chief of Staff, Senator Hagerty

Jason Thielman, Chief of Staff, Senator Daines

Amanda Thompson, Communications Director, Senate Banking Committee

Kristin Walker, Chief of Staff, Senator Lummis

Susan Wheeler, Chief of Staff, Senator Crapo

Kunal Parikh, Legislative Assistant, Senator Scott

Landon Zinda, Senate Banking Committee

Question 13

Senate Working Group is a 501(c)(4) nonprofit organization dedicated to providing educational resources to Senate staff. In addition to local training and receptions throughout the year, the Republican Senate Banking Committee Staff Summit provides us the ability to connect Senate staff with industry and thought leaders in an intimate, off-the-record setting. Senate Working Group is not financed by, controlled by, or otherwise affiliated with any governmental entity, including but not limited to the United States Senate.

Question 14

Senate Working Group is a newer organization, created on October 8, 2021. In addition to hosting events in Washington, D.C., Senate Working Group hosted the 2022 Senate Republican Chiefs of Staff and Committee Staff Director's Symposium at the Greenbrier Resort on March 24-26, 2022.

Question 15

Senate Working Group provides educational trainings, as well as hosts widely attended events throughout the calendar year. These trainings and events are offered to all Senate staff, from Chiefs of Staff or Senate Interns.

Question 18

Given the event is classified as a Summit, we want the event to be outside of Washington, D.C. New York City is the financial hub for the United States of America, housing many of the country's top banking institutions and home of the New York Stock Exchange.

Senate Working Group
2022 Senate Republican Banking Committee Staff Summit
September 29 – 30, 2022

Thursday, September 29, 2022

1:50 PM – 4:49 PM – Travel to New York, New York
The group will travel together on Acela #2168 to New York's Moynihan Train Hall (Penn Station) from Washington, D.C. (Union Station). There will be no programming during this time.

5:00 PM – 5:30 PM – Travel to Hotel
The group will travel via pre-arranged shuttle service to the hotel. There will be no programming during this time.

5:30 PM – Hotel Check-In
SpringHill Suites New York Manhattan Time Square South
338 W. 36th Street New York, NY 10018

5:45 PM – 6:00 PM – Walk to Dinner
Wells Fargo
63rd Floor
30 Hudson Yards, New York, New York 10001

6:00 PM – 8:00 PM – Dinner Discussion with Charles W. Scharf, CEO of Wells Fargo
The purpose of this dinner is to discuss the history of banking in America and a look into its future, featuring the Chief Executive Officer of Wells Fargo, Charles W. Scharf.

Speakers: **James Kimmey**, Executive Director, Senate Working Group (opening/ closing remarks + moderator)
Charles W. Scharf, CEO, Wells Fargo

Friday, September 30, 2022

6:00 AM – 7:45AM – Breakfast at hotel on own
Breakfast is provided to all hotel guests.

7:45 AM – Depart Hotel to New York Stock Exchange
The group will travel via pre-arranged shuttle service to the New York Stock Exchange. There will be no programming during this time.

8:30 AM – 9:15 AM – Discussion and tour of the New York Stock Exchange
The purpose of this session to meet with the senior leadership of the New York Stock Exchange and understand the importance of the Stock Exchange's impact globally.

Speakers: **John Tuttle**, Vice Chairman, New York Stock Exchange & President, NYSE Institute
Justin Durbin, Head of Policy, NYSE Institute
Michael Blaugrund, Chief Operating Officer, New York Stock Exchange
Josh King, Chief Communications Officer, New York Stock Exchange

9:15 AM – 9:45 AM – Opening Bell and tour trading floor
The group will witness the opening bell for the New York Stock Exchange and tour the trading floor during active trading.

9:45 AM – 10:15 AM – Travel to next meeting

10:30 AM – 11:30 PM – Discussion with Kelly Mohan Tullier of Visa, Inc.
277 Park Ave, 50th Floor, New York, New York 10172
The group will meet with Vice Chair of Visa, Inc., Ms. Kelly Mohan Tullier. The purpose of this session is to better understand the unique relationship between the banking industry and technology.

11:30 AM – 12:00 PM – Travel to next meeting

12:00 PM – 1:30 PM - Lunch Discussion with Goldman Sachs
200 West Street, New York, New York 10282
The group will meet with senior leadership from Goldman Sachs at Goldman Sachs headquarters. The purpose of this lunch discussion is to better understand the Universal and Large Cap Banks sector along with the research that goes into banking globally.

Speakers: **Damien Courvalin**, Head of Energy Research & Senior Commodity Strategist, Goldman Sachs
Jeff Currie, Global Investment Research, Goldman Sachs
Richard Ramdsen, Global Investment Research, Goldman Sachs

1:30 PM – 2:00 PM – Banking Summit Wrap Up
The purpose of this 30-minute session is to get feedback from the group on what sessions they enjoyed and how Senate Working Group can continue to provide thoughtful educational programming to Senate Staff. The conversation will be facilitated by James Kimmey.

2:00 PM – 3:00 PM – Travel to Moynihan Train Hall (Penn Station)
The group will travel via pre-arranged shuttle service to Moynihan Train Hall (Penn Station). There will be no programming during this time.

3:00 PM – 5:53 PM – Travel to Washington, D.C.
The group will travel together on Acela #2163 to Washington, D.C. (Union Station) from New York's Moynihan Train Hall (Penn Station). There will be no programming during this time.

Summit concludes at 2:00 PM

Tuesday, October 4, 2022 at 12:05:07 Eastern Daylight Time

Subject: Save the Date – Banking Committee Summit
Date: Monday, July 25, 2022 at 12:24:39 PM Eastern Daylight Time
From: James Kimmey
To: James Kimmey, James Kimmey
Attachments: image001.png



Dear Banking Committee Senior Staff,

Senate Working Group is the process of planning the first ever, Senate Republican Banking Committee Staff Summit for all senior Banking Committee staff and the Chiefs of Staff who support the Banking Committee. The Summit will take place **Thursday, September 29, 2022 – Friday, September 30, 2022, in New York, New York.**

We are still developing the itinerary for the Summit, but the purpose of this overnight trip is to educate senior Senate staff on the history, complexity, and future of banking in America. Some highlights include:

- Roundtrip travel to New York from Washington, D.C.
- Lodging and meals (in compliance with Senate Ethics' rules)
- Discussions with bank CEOs, including Charles Scharf of Wells Fargo
- Tour of the New York Stock Exchange + ring the opening bell
- Federal Reserve Bank of New York
- A discussion on Crypto with industry leaders

More information on the itinerary will be sent in the coming weeks along with Senate Ethics paperwork.

If you are interested in participating in the Summit, **please RSVP to me by Friday, August 5, 2022.** Following the deadline, Senate Ethics paperwork will be sent to you to sign and deliver to Senate Ethics no later than Friday, August 26, 2022.

I hope you're able to join us for this incredible event!

Best,
James

James Kimmey
Executive Director
Senate Working Group
(858) 336-0293
www.senateworkinggroup.org