RE-3 Senators and Officers Post Travel Disclosure of Travel Expenses

Date/Time Stamp

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SECRETARY OF THE SENATE
PUBLIC RECORDS
2024 APR 22 AM 10:01

Post Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building. **This form is a public disclosure. The form and all attachments will be made publicly available.**

Certification: *In compliance with the Regulations Governing Privately Sponsored Travel, Senate Rule 35, and the Honest Leadership and Open Government Act of 2007, I certify that I accepted the following gift of privately sponsored travel:*

Private Sponsor(s):

The Aspen Institute, Inc. (Congression

Travel Dates:

April 1 - April 6, 2024

Name of accompanying family member (if any):

Martha Carper

Relationship to Traveler:

spouse

Total Expenses

Transportation Expenses	Lodging Expenses	Meals Expenses	Other Expenses (Amount & Description)
$9,102	$1,236	$1,192	$1,400

I also certify that attached to this form are all required documents for post travel disclosure, including:

- The final **Private Sponsor Travel Certification Form** with all attachments
- The final invitation
- The final approved itinerary

I certify that all trip information reflected in the attachments above accurately reflects the travel that I accepted. If there were any changes to the trip after I received approval from the Committee, the changes are described in ATTACHMENT 1.

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

April 22, 2024 — Date

TOM CARPER — Printed Name of Senator/Officer

Tom Carper — Signature of Senator/Officer

0000000003127 RECEIVED BY: SECRETARY OF THE SENATE Date: April 22, 2024

CONGRESSIONAL PROGRAM
aspen institute

The Honorable Tom Carper

U.S. Senate
Washington, DC 20515-0306

Dear Senator Carper,

I would like to invite you and Martha to our bipartisan, bicameral congressional conference on ***Artificial Intelligence: The Promise and the Peril*** in **Bellagio, Italy, April 1-6, 2024**, including travel dates. These conference spots fill up quickly, so please RSVP as soon as possible.

Artificial Intelligence and technological innovation have become another avenue for global power competition. Rapid digitalization and development of technology pose significant opportunities and challenges for U.S. global competitiveness, while the government has not yet championed federal policies to address anticipated repercussions, such as economic impact and labor displacement, ethical and social considerations, national security, data governance, regulatory frameworks, and international collaboration. Members of Congress will engage in round-table discussions and informal conversations with invited experts to better understand: (1) what artificial intelligence is and what it means to regulate it; (2) how AI affects American citizens' daily lives; and (3) what steps should be taken to pioneer regulatory frameworks. Members will have a unique opportunity to focus on the area and holistically think about their role in advancing and gauging America's tech innovation.

Attendance is by invitation only, no outside observers, no lobbyists, no congressional staff, and no media. All conference sessions are off-the-record. No foreign governments are accepted to fund the Aspen Institute Congressional Program. As required by the House and Senate ethics rules, we will provide congressional participants with ethics private sponsor forms completed and signed.

Travel expenses, including business class airfare, meals and lodging will be paid for by the Aspen Institute; no expenses for entertainment or recreation are paid for in compliance with ethics rules.

The Congressional Program is part of the Aspen Institute, Inc. a nonprofit organization founded in 1950. The Congressional Program was created to promote leadership on public policy by bringing together legislators from both political parties with internationally renowned scholars for high level discussions and analysis. Since our program's inception, more than 523 members of Congress have participated in the 156 domestic and international conferences.

We hope you can join us for this important conference.

Sincerely,

Chl w Dent

Charlie Dent

Charles W. Dent
Former Member, U.S. Congress (PA-15th, 2005-2018)
Vice President and Executive Director
Aspen Institute Congressional Program
2300 N Street, NW, Suite 700, Washington, DC 20037
Mobile: (484) 553-1837

Trip Name: Artificial Intelligence: The Promise and The Peril

Organization Information

Organization Name:
The Aspen Institute, Inc. (Cong.Prog.)

Is your organization classified as a §501(c)(3)?
(●) Yes ( ) No

If Yes, §501(c)(3) Organization Type:
[ ] Private Foundation [✓] Public Charity

Address:
2300 N Street, NW

City, State, Zip:
Washington, DC 20037

Phone Number:
202-736-5859

Organization URL:
https://www.aspeninstitute.org/

History of Congressional Travel

Describe your organization's history of sponsoring congressional travel.

Aspen Institute Congressional Program (AICP)has conducted international and domestic conferences for members of Congress for over 40 years. On occasion, other policy programs of The Aspen Institute, Inc., sponsor conferences that involve members of Congress.

Educational Activities

Describe the educational activities performed by your organization other than sponsoring congressional travel.

The Aspen Institute, Inc. holds numerous educational activities including conferences, roundtable briefings, and other nonpartisan forums on critical issues facing the U.S. and the world. AICP, specifically, offers nonpartisan educational events for members of Congress and senior congressional staff members, with each event offering a leading scholar on a key domestic or international issue.

Lobbyist and Foreign Agent Registration Information

Lobbyist Registration Status (Select one):

(●) I certify that the sponsor is not a federally registered lobbyist and does not retain or employ a federally registered lobbyist.

( ) I certify that the sponsor is not a federally registered lobbyist but does retain or employ one or more federally registered lobbyists.

Foreign Agent Registration Status (Select one):

(●) I certify that the sponsor is not an agent of a foreign principal and does not retain or employ an agent of a foreign principal.

( ) I certify that the sponsor is not an agent of a foreign principal but does retain or employ one or more agents of a foreign principal.

Foreign Government Involvement

Foreign Agent Registration Status (Must select all):

[✓] I certify that the sponsor is not a foreign government.

[✓] I certify that the sponsor is not an entity that is owned or operated by a foreign government.

[✓] I certify that the sponsor does not receive funding from a foreign government.

Purpose and Details

Provide a brief description of the trip.

The conference will take an in depth look at Artificial Intelligence, and how to understand a rapidly evolving technology that impacts every day life, including communications, privacy, and all of the ethical implications to be considered.
As AI develops, it will continue to influence industries, economies, and governance structures in the U.S. and across the globe. Federal policymakers will grapple with the challenges posed by AI, including ethics, the regulation of AI applications, and the global collaboration needed to navigate its international implications.

Explain how the purpose of the trip relates to your organization's mission.

The Aspen Institute, Inc., is a non-profit organization, and its broad mission is conducting nonpartisan forums and the exchange of ideas on issues impacting the U.S. and the world. AICP is a program of the Aspen Institute, Inc., that provides nonpartisan educational conferences and educational forums for members of Congress and senior congressional staff members. The Italy conference will examine rapidly evolving Artificial Intelligence, the impact on the U.S. and the world in terms of the economies, challenges of privacy, future employment and the labor market, and numerous other factors. The conference will allow participating members of Congress to discuss these complex policy issues along with experts in the field of Artificial Intelligence.

Is your organization the only sponsor for this trip?

(●) Yes ( ) No

If No, describe your organization's role in planning the trip.

If there are multiple sponsors, each sponsor must submit Organization Information (Page 1 of the Private Sponsor Travel Certification Form) and a Signature Page Form.

Grantmaking Organizations (Optional)

If you have a Grantmaking Organization, you must attach a Grantmaking Organization Certification Form.

1. The Rockefeller Foundation
2.
3.

With or Without Regard to Congressional Participation (Select one):

- ○ The trip is arranged or organized without regard to congressional participation.
- ◉ The trip is arranged or organized with regard to congressional participation.

Lobbyist/Foreign Agent Involvement in Planning, Organizing, Requesting or Arranging

- ☑ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal, other than de minimis involvement.

Lobbyist/Foreign Agent Financing (Must select all):

- ☑ The trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- ☑ No funds or in-kind contributions were earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

Lobbyist/Foreign Agent Accompaniment

Complete if all sponsors are §501(c)(3) organizations (Select one):

- ◉ The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ○ The trip is limited to a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ○ The trip is limited to a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Complete if any of the sponsors is not a §501(c)(3) organizations (Select one):

- ○ The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ○ The trip is limited to a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ○ The trip is limited to a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Certification of No Recreational Activity and No Alcohol (Must select all):

- ☑ Travel expenses paid for will not include expenditures for recreational activities.
- ☑ Travel expenses paid for will not include expenditures for alcohol, except as permitted by the Regulations Governing Privately Sponsored Travel.

Will members and staff from the House of Representatives receive invitations?

◉ Yes ○ No

Travel Details (Submit additional pages as needed)

Trip Start Date/Time:	⇄	Trip End Date/Time:
April 2, 2024 at 7 pm		April 6, 2024 at 9 am

Will the traveler be accompanied by a family member for whom the sponsor will pay travel expenses?

(●) Yes ( ) No

Transportation (Member/Officer/Employee: $4,551 Accompanying Family Member: $4,551)

Transportation Type	Class	Amount
commercial airline	business	$4051 per traveler
ground transportation in Bellagio	coach	$500 per traveler

Details (optional)

Lodging (Member/Officer/Employee: $1,236 Accompanying Family Member: $0)

Check-In	Check-Out	Facility	City	State	Country
4/2/24	4/6/24	Bellagio Center	Bellagio		Italy

Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.
4	$309	no	

Check-In	Check-Out	Facility	City	State	Country

Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.

Check-In	Check-Out	Facility	City	State	Country

Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.

Meals (Member/Officer/Employee: $596 Accompanying Family Member: $596)

Date	Breakfast	Lunch	Dinner	Incidentals	Total	City	State	Country	Cost Exceeds Per Diem (Y/N)
4/2/24	NA	NA	$63	$31	$94	Bellagio		Italy	N
4/3/24	$24	$39	$63	$31	$157	Bellagio		Italy	N
4/4/24	$24	$39	$63	$31	$157	Bellagio		Italy	N
4/5/24	$24	$39	$63	$31	$157	Bellagio		Italy	N
4/6/24	NA	NA	NA	$31	$31	Bellagio		Italy	N

If costs exceed the federal per diem, please explain why expenses over the per diem rate are reasonable and necessary.

Reasonable Miscellaneous Expenses

(Member/Officer/Employee: $700 Accompanying Family Member: $700)

Expense Type	Amount	Notes
Private meeting space, AV, conference services	$700	per person

Additional Details (optional)

PRIVATELY SPONSORED TRAVEL

SPONSOR SIGNATURE PAGE

I hereby certify that the information submitted in connection with the trip listed below is true, complete, and correct to the best of my knowledge and belief.

Trip Name: Artificial Intelligence: The Promise and The Peril

Travel Date(s): April 2 at 7 pm - April 6 at 9 am (2024)

Travel Destination(s): Bellagio, Italy

Sponsor: Aspen Institute, Inc. (Congressional Program)

Charlie Dent — *(printed name of sponsor representative)*

VP-Aspen Institute, Inc. — *(title)*

Chas W Dent — *(signature of sponsor representative)*

Feb. 27, 2024 — *(date)*

Grantmaking Organization Certification Form

Instructions: If a sponsor receives funds from a grantmaking organization, the sponsor must disclose this on the *Private Sponsor Travel Certification Form*, and the grantmaking organization must complete the *Grantmaking Organization Certification Form.* The completed *Grantmaking Organization Certification Form* must be submitted to the Senate Select Committee on Ethics by the trip sponsor at least 30 days prior to the travel departure date.

Grantmaking Organization: The Rockefeller Foundation

Private Sponsor: Aspen Institute Congressional Program

Trip Name: Artificial Intelligence: The Promise and the Peril

Travel Date(s): April 1-6, 2024, including travel dates

Destination(s): Bellagio, Italy

In compliance with the *Regulations Governing Privately Sponsored Travel*, I certify the following:

- ☑ The grantmaking organization is a § 501(c)(3) organization.
- ☑ The grantmaking organization is not a lobbyist, lobbying firm, or foreign agent, nor an entity that retains or employs a lobbyist or foreign agent.
- ☑ The grantmaking organization provides funds under an established grant program, or in a manner that is otherwise consistent with the mission and practices of the organization.
- ☑ The grantmaking organization is not involved, directly or indirectly, in organizing and conducting the trip. This includes selecting participants for a trip.
- ☑ The grantmaking organization does not directly pay or reimburse travel expenses for any Senate Member, officer, or employee.

Erica Guyer
(Printed name of grantmaking organization representative)

General Counsel and Corporate S
(Title)

Erica L
(Signature of grantmaking organization representative)

01/29/2024
(Date)

0000000003135 RECEIVED BY: SECRETARY OF THE SENATE Date: April 22, 2024

CONGRESSIONAL PROGRAM
aspen institute

Artificial Intelligence: the Promise and the Peril

April 1-6, 2024 | Bellagio, Italy

AGENDA

Congressional Program Executive Director Charlie Dent moderates the discussion sessions, recognizes members of Congress who have questions, and is assisted by a timekeeper to ensure the conversation is quick paced and every member of Congress has an opportunity to ask questions and discuss the issues.

MONDAY, APRIL 1:

U.S. participants depart the U.S.

Sen. Tom Carper and Martha Carper depart JKF at 7 pm on American Airlines 198.

TUESDAY, APRIL 2:

U.S. participants arrive in Bellagio, Italy throughout the day.

Sen. Tom Carper and Martha Carper arrive arrive in Milan, Italy at 9:05 am and are transported by bus to Bellagio, Italy to join the conference for the first event.

7 - 9 PM: Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

WEDNESDAY, APRIL 3:

8 - 9 AM: Breakfast

9 - 9:15 AM: Introduction and Framework of the Conference

This conference is organized into roundtable conversations, working lunches and pre-dinner remarks. This segment will highlight how the conference will be conducted, how those with questions will be recognized, and how responses will be timed to allow for as much engagement as possible.

Speaker:

Charlie Dent, *Executive Director and Vice President, Aspen Institute Congressional Program*

concerns, data security, algorithmic bias, and the need for transparent decision-making. Members of Congress will learn about how AI's ability to process and interpret complex medical information can accelerate research and development but also surface structural inequities.

Speaker:
David Rhew, *Chief Medical Officer, Microsoft*

7 - 9 PM: Working Dinner
Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussions will focus on the role of AI in medicine.

THURSDAY, APRIL 4:

8-9 AM: Breakfast

9 - 11:15 AM: Roundtable Discussion:
Deepfakes and Democracy: the Looming Collision of AI and Elections

In 2024, almost one billion people around the globe will vote in national elections. As malicious actors continue their assault on democratic processes worldwide, AI tools can be weaponized to wrongly influence people. In this session, experts will lay out specific threats enabled by AI, including deep fakes, microtargeting of voters, and automated content distribution. The panelists will review current and potential guardrails to keep democracy on track.

Speakers:
Chris Krebs, *Chief Intelligence and Public Policy Officer, SentinelOne*
Vivian Schiller, *Vice President and Executive Director, Aspen Digital, Aspen Institute*

11:15 - 11:30 AM: Break

11:45 AM - 1:15 PM: Roundtable Discussion:
The Good News: AI and Innovation in Education, Healthcare, and Climate
The integration of artificial intelligence brings promising prospects for innovation in various critical sectors. **In education**, AI is revolutionizing personalized learning experiences, tailoring educational content to individual needs and optimizing teaching methodologies. It opens up new avenues for adaptive learning systems, equipping students with the skills necessary for the evolving job market. **In healthcare**, AI applications are enhancing diagnostic accuracy, streamlining administrative tasks, and accelerating drug discovery processes. **In climate change**, AI is playing a pivotal role from optimizing energy consumption to facilitating predictive modeling for environmental changes. This panel will help Members of Congress uncover how to

FRIDAY, APRIL 5:

8 - 9 AM: Breakfast

9 - 11:15 AM: Roundtable Discussion

AI and the Future of Labor Market

There are mixed perceptions about AI's role in a new labor market: AI will open up new labor markets and improve employee satisfaction or lead to an epic loss of jobs. However, everyone agrees that AI will change the nature of work and workers. This panel will uncover how companies are approaching these opportunities and risks.

Speakers:

Athina Kanioura, *Executive Vice President, Chief Strategy and Transformation Officer, PepsiCo*

Mike Haley, *Senior Vice President, Research, Autodesk*

11:15 - 11:25 AM: Break

11:25 AM - 1:15 PM: Individual Discussions

Scholars will be available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions, including Athina Kanioura and Mike Haley.

1:15 - 2 PM: Working Lunch

Discussion continues between members of Congress and experts on policy takeaways from the conference.

2 - 5 PM: Policy Reflections for Members of Congress

This time is set aside for Members of Congress to reflect on what they learned during the conference and discuss their views on implications for U.S. policy.

7 - 9 PM: Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussions will focus on policy takeaways from the conference.

SATURDAY, APRIL 6:

5 AM-9 AM

Conference participants depart Bellagio by private bus for Milan airport to the U.S.

Sen. Tom Carper and Martha Carper depart Milan at 11:10 am on American 199 and arrive at JFK at 2:10 pm.

0000000003138 RECEIVED BY: SECRETARY OF THE SENATE Date: April 22, 2024

Senate Invitee List

Aspen Institute – Artificial Intelligence - The Peril and The Promise

1. Sen. Tom Carper and Martha Carper
2. Sen. Bill Cassidy and Laura Cassidy
3. Sen. Chris Van Hollen and Katherine Wilkens