RE-3 Senators and Officers Post Travel Disclosure of Travel Expenses

Date/Time Stamp
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2024 JAN 29 PM 12:46

Post Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building. **This form is a public disclosure. The form and all attachments will be made publicly available.**

Certification: *In compliance with the Regulations Governing Privately Sponsored Travel, Senate Rule 35, and the Honest Leadership and Open Government Act of 2007, I certify that I accepted the following gift of privately sponsored travel:*

Private Sponsor(s):

The Heritage Foundation

Travel Dates:

January 5-6, 2024

Name of accompanying family member (if any):

N/A

Relationship to Traveler:

N/A

Total Expenses

Transportation Expenses	Lodging Expenses	Meals Expenses	Other Expenses (Amount & Description)
$30	$375.34	$202.72	

I also certify that attached to this form are all required documents for post travel disclosure, including:

- The final **Private Sponsor Travel Certification Form** with all attachments
- The final invitation
- The final approved itinerary

I certify that all trip information reflected in the attachments above accurately reflects the travel that I accepted. If there were any changes to the trip after I received approval from the Committee, the changes are described in ATTACHMENT 1.

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

Jan. 29. 2024 — Date

Senator Tommy Tuberville — Printed Name of Senator/Officer

Tommy Tuberville — Signature of Senator/Officer

ATTACHMENT 1 – CHANGES FROM APPROVED PRE-TRAVEL

Note: Material changes to a trip that occur after the Committee has issued an approval letter may invalidate the Committee's approval. Please contact the Committee with any questions regarding changes to an approved trip.

Were there any changes to the pre-approved travel expenses? (Transportation, Meals, Lodging, Other)?

(●) Yes ( ) No

Expense Change	Revised Amount	Explanation
Lodging	$375.34	Upgraded room type
Meals	$202.72	Reflects change once final bill was received by H

Were there any changes to the pre-approved itinerary?

(●) Yes ( ) No

Explanation:

Private meetings with Heritage employees; reflected on schedule attachment

Were there any additional changes to the pre-approved trip?

(●) Yes ( ) No

Explanation:

Awakening
BY THE HERITAGE FOUNDATION
2024

Thursday, January 4 – Sunday, January 7, 2024
The Cloister | Sea Island, GA
SENATOR TUBERVILLE SCHEDULE

DRIVE FROM DESTIN, FL TO SEA ISLAND, GA

4:15 p.m. Estimated arrival at The Cloister in Sea Island, Georgia

4:30 p.m. Meeting with Heritage president Dr. Kevin Roberts

5:00 p.m. Meeting with Bridgett Wagner, Heritage Executive Director of the Edwin J. Feulner Institute, and Annie Foster, Heritage Senior Events Manager

DINNER KEYNOTE

5:45 – 8:00 p.m. **Family Dinner & Children's Stock Sale** *Cloister Ballroom*

Host: **Kevin D. Roberts, PhD**
President, The Heritage Foundation and Heritage Action

The Honorable Tommy Tuberville
United States Senate (R-AL)

Riley Gaines
Advisor, Independent Women's Forum, Former NCAA College Swimmer, and Advocate for Women's Single-sex Spaces

8:00 – 9:00 p.m. **Cordials & Conversation** *Clubroom*
Cash bar available

Saturday, January 6

6:00 a.m. Estimated departure from The Cloister in Sea Island, Georgia

DRIVE FROM SEA ISLAND, GA TO AUBURN, AL

0000000000163 RECEIVED BY: SECRETARY OF THE SENATE Date: January 29, 2024

CHRISTOPHER A. COONS, DELAWARE, CHAIRMAN
JAMES LANKFORD, OKLAHOMA, VICE CHAIRMAN

BRIAN SCHATZ, HAWAII
JEANNE SHAHEEN, NEW HAMPSHIRE
JAMES E. RISCH, IDAHO
DEB FISCHER, NEBRASKA

SHANNON HAMILTON KOPPLIN, CHIEF COUNSEL AND STAFF DIRECTOR
WILLIAM B. CABLE, CHIEF CLERK

HART SENATE OFFICE BUILDING, ROOM 220
SECOND AND CONSTITUTION AVENUE, NE
WASHINGTON, DC 20510-6425

TELEPHONE: (202) 224-2981
FACSIMILE: (202) 224-7416
TDD: (202) 228-3752

United States Senate

SELECT COMMITTEE ON ETHICS

January 3, 2024

The Honorable Tommy Tuberville
United States Senate
Washington, DC 20510

Dear Senator Tuberville:

This responds to your recent correspondence requesting approval from the Select Committee on Ethics (the Committee) to accept an invitation you and your spouse received to travel to the Awakening conference, in Sea Island, Georgia, on January 5-6, 2024, sponsored by the Heritage Foundation. Provided that you adhere to the guidance set forth below, it appears that it is permissible for you to accept necessary expenses from the Heritage Foundation in connection with this trip.

Background

Based on your communication with the Committee, the background in this matter is as follows.[1] The Heritage Foundation invited you and your spouse to travel to the Awakening conference, in Sea Island, Georgia, on January 5-6, 2024. The Heritage Foundation certified to the Committee that it will pay the necessary expenses[2] related to the travel and that it is neither a lobbyist, lobbying firm, agent of a foreign principal, or otherwise acting as a representative or agent of a foreign government. The Heritage Foundation has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal and that no registered lobbyist or agent of a foreign principal will accompany you at any point throughout your trip.[3]

Discussion

Privately sponsored travel includes any gift of travel and travel-related expenses for travel outside the Senate duty station, including transportation, lodging, food and refreshments, and conference fees and materials, whether paid for directly, reimbursed, or provided directly, from a private individual or entity, in connection with a Member's, officer's, or employee's official Senate duties. Incorporating reforms from the Honest Leadership and Open Government Act of 2007 (HLOGA), Pub. L. 110–81 (2007), Senate Rule 35.2 permits Members, officers, and employees to accept privately sponsored travel only with the prior written approval of the Committee.

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[1] If this letter does not correctly recite the facts, contact the Committee immediately.

[2] The term "necessary expenses" has a specific definition. *See Select Committee on Ethics Regulations and Guidelines for Privately Sponsored Travel* at 10-13.

[3] The term "any point throughout your trip" has a specific definition. *See Select Committee on Ethics Regulations and Guidelines for Privately Sponsored Travel* at 15.

The Committee's approval process is governed by the *Regulations and Guidelines for Privately Sponsored Travel* (*Travel Regulations*). The *Travel Regulations* contain detailed requirements regarding who may sponsor travel; time limits for trips; and the type and amount of expenses that can be reimbursed.

Entities that do not retain or employ a lobbyist or foreign agent and all non-profit organizations designated as tax-exempt organizations under § 501(c)(3) of the Internal Revenue Code of 1986, regardless of whether they retain or employ a lobbyist or foreign agent, may sponsor foreign trips for up to seven days. The seven-day limitation means seven 24-hour periods, calculated from the time of arrival in the trip location to the time of departure from the trip location. For such trips, neither lobbyists nor foreign agents may accompany a Senate invitee at any point throughout the trip, other than in a *de minimis* way.[4] This means that neither lobbyists nor foreign agents may accompany Senate invitees at any point to and from the event, at the event itself, or at the location being visited.[5]

Under Senate Rule 35, a Senator or officer who receives payment or reimbursement for necessary expenses related to fact-finding travel must disclose those expenses to the Secretary of the Senate by filing the completed *Senators and Officers Post-Travel Disclosure of Travel Expenses* (Form RE-3), along with a copy of the *Private Sponsor Travel Certification Form*, and all relevant attachments (e.g., the private sponsor's invitation and itinerary) **within 30 days of the conclusion of Privately Sponsored Travel**.

Consistent with these standards and Committee precedent, the Heritage Foundation's factual representations, it appears that it is permissible for you to accept necessary expenses from the Heritage Foundation in connection with this trip, provided that the actual travel and travel-related expenses conform to the information and materials you provided, and the travel and all required documents are disclosed to the Secretary of the Senate (Office of Public Records). Necessary expenses may include travel expenses for the spouse of a Member if the Member determines that the attendance of the spouse is appropriate to assist in the representation of the Senate.

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[4] The term "*de minimis*" has a specific definition. *See Select Committee on Ethics Regulations and Guidelines for Privately Sponsored Travel* at 6-8.

[5] *See Select Committee on Ethics Regulations and Guidelines for Privately Sponsored Travel* at 6-10.

Finally, Senate Rule 34 requires a Member, on their financial disclosure report, to make an annual disclosure of the receipt of payments or reimbursements under Senate Rule 35 from a private sponsor for officially-related travel expenses where, in the aggregate, travel expenses exceed $480 from that sponsor during a calendar year. However, if a Member, officer, or employee properly reports the receipt of necessary expenses for such travel to the Secretary of the Senate within 30 days of the travel, as discussed above, the travel expenses need not be disclosed a second time on their financial disclosure report.

I hope you find this information helpful. If you have any additional questions, please do not hesitate to contact the Committee.

Sincerely,

Shannon Hamilton Kopplin
Chief Counsel and Staff Director

Enclosure: Member and Officer Privately Sponsored Travel Checklist

0000000000166 RECEIVED BY: SECRETARY OF THE SENATE Date: January 29, 2024

Friday, December 15, 2023 at 12:45:11 Eastern Standard Time

Subject: Invitation: The Heritage Foundation's Awakening 2024
Date: Wednesday, August 16, 2023 at 10:33:05 AM Eastern Daylight Time
From: Teetsel, Eric
To: Bradley_Hayes@tuberville.senate.gov, sarah_garza@tuberville.senate.gov, anafrances_abrams@tuberville.senate.gov
CC: Morinaga, Renae
Attachments: Senator Tuberville Invitation.pdf

Dear Bradley, Sarah, and Ana Frances,

I am pleased to share the attached invitation from Heritage president Kevin Roberts for Senator Tuberville to be a special guest and featured speaker at our 2024 Awakening event in Sea Island, Georgia, taking place January 4-7, 2024. Awakening is private, off-the-record annual gathering that brings together over 250 business and civic leaders, state legislators, public officials, and philanthropists for a faith- and family-filled weekend to assess our country's challenges, gain new insights, and commit to innovative action that will restore our country's most important institutions.

We'd be honored to have Senator Tuberville deliver remarks on Saturday, January 6, about his experience in the Senate – and in particular his fight to protect the unborn. We can also work with your team on other times during the event if that particular date does not work well for your boss's schedule.

My colleague Renae Morinaga from the Events & Programming is copied on this email and can assist with any questions you may have.

Thank you for your consideration!

Sincerely,

Eric

Eric Teetsel
Vice President of Government Relations
(202) 306-6266

0000000000167 RECEIVED BY: SECRETARY OF THE SENATE Date: January 29, 2024

The Heritage Foundation

Thanks for all you're doing!
K. R.

August 15, 2023

The Honorable Tommy Tuberville
United States Senate (R-AL)
455 Russell Senate Office Building
Washington, DC 20510

Dear Senator Tuberville,

I am writing to invite you to join The Heritage Foundation as a keynote speaker at our upcoming Awakening 2024 conference on January 4-7, 2024, at The Cloister in Sea Island, Georgia.

Awakening is a private, off-the-record annual gathering that brings together business and civic leaders, state legislators, public officials, and philanthropists for a faith- and family-filled weekend to assess our country's challenges, gain new insights, and commit to innovative action that will restore our country's most important institutions.

The Heritage Foundation is dedicated to that cause each and every day. To accomplish this mission, we count on the clear perspective of leaders like you as we go on offense to tackle America's greatest challenges.

That's why I am writing to invite you to join us on Saturday, January 6 as a speaker at Awakening 2024 to share your experiences in the United States Senate – particularly your experiences in fighting to protect the unborn.

We anticipate over 250 individuals and their families will join us, and we would be thrilled to have you lend your perspective to the important discussions. Previous speakers have included political leaders, talk radio hosts, federal judges, state and local officials, best-selling authors, and pastors. You have a unique message and voice, and we think that conservative leaders and their families would welcome the opportunity to hear from you at Awakening.

We kindly ask that you respond by August 25. Our Assistant Director of Special Events, Renae Morinaga, can be reached at Renae.Morinaga@heritage.org or 202-608-6031.

Senator, thank you for the great work you're doing on behalf of the American people. I hope you'll join us in Georgia next January.

Sincerely yours,

Kevin Roberts

Kevin D. Roberts, PhD
President

Trip Name: The Heritage Foundation - Awakening

Organization Information

Organization Name:
The Heritage Foundation

Is your organization classified as a §501(c)(3)?
(●) Yes ( ) No

If Yes, §501(c)(3) Organization Type:
[ ] Private Foundation [✓] Public Charity

Address:
214 Massachusetts Ave NE

City, State, Zip:
Washington, DC 20002

Phone Number:
2026081514

Organization URL:
www.heritage.org

History of Congressional Travel

Describe your organization's history of sponsoring congressional travel.

The Heritage Foundation's mission is to formulate and promote public policies based on the principles of free enterprise, limited government, individual freedom, traditional American values, and a strong national defense. As such Heritage has frequently sponsored educational trips in the past for groups of individuals including Members of Congress and Congressional staff that are based around these fundamental principles.

Educational Activities

Describe the educational activities performed by your organization other than sponsoring congressional travel.

We write policy papers, host educational briefings and seminars both on and off site, and submit articles for journals. We provide educational research on a wide variety of issues.

Lobbyist and Foreign Agent Registration Information

Lobbyist Registration Status (Select one):

(●) I certify that the sponsor is not a federally registered lobbyist and does not retain or employ a federally registered lobbyist.

( ) I certify that the sponsor is not a federally registered lobbyist but does retain or employ one or more federally registered lobbyists.

Foreign Agent Registration Status (Select one):

(●) I certify that the sponsor is not an agent of a foreign principal and does not retain or employ an agent of a foreign principal.

( ) I certify that the sponsor is not an agent of a foreign principal but does retain or employ one or more agents of a foreign principal.

Foreign Government Involvement

Foreign Agent Registration Status (Must select all):

[✓] I certify that the sponsor is not a foreign government.

[✓] I certify that the sponsor is not an entity that is owned or operated by a foreign government.

[✓] I certify that the sponsor does not receive funding from a foreign government.

Purpose and Details

Provide a brief description of the trip.

Senator Tuberville has been invited to be a keynote speaker on Saturday, January 6.

Explain how the purpose of the trip relates to your organization's mission.

One of the Heritage Foundation priorities is to promote a strong and flourishing civil society. Therefore, Heritage sponsors the Awakening annually to facilitate conversations that influence the sharing of ideas to foster stronger families.

Is your organization the only sponsor for this trip?

(●) Yes ( ) No

If No, describe your organization's role in planning the trip.

If there are multiple sponsors, each sponsor must submit Organization Information (Page 1 of the Private Sponsor Travel Certification Form) and a Signature Page Form.

Grantmaking Organizations (Optional)

If you have a Grantmaking Organization, you must attach a Grantmaking Organization Certification Form.

1.

2.

3.

With or Without Regard to Congressional Participation (Select one):

- (●) The trip is arranged or organized without regard to congressional participation.
- ( ) The trip is arranged or organized with regard to congressional participation.

Lobbyist/Foreign Agent Involvement in Planning, Organizing, Requesting or Arranging

- [✓] The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal, other than de minimis involvement.

Lobbyist/Foreign Agent Financing (Must select all):

- [✓] The trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- [✓] No funds or in-kind contributions were earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

Lobbyist/Foreign Agent Accompaniment

Complete if all sponsors are §501(c)(3) organizations (Select one):

- ( ) The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- (●) The trip is limited to a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ( ) The trip is limited to a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Complete if any of the sponsors is not a §501(c)(3) organizations (Select one):

- ( ) No sponsor retains or employs a registered lobbyist or agent of a foreign principle, the trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ( ) The trip is limited to a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ( ) The trip is limited to a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Required if selecting the third option in either column - Please explain why the second overnight stay is practically required and necessary to accomplish the purpose of the trip.

Certification of No Recreational Activity and No Alcohol (Must select all):

- [✓] Travel expenses paid for will not include expenditures for recreational activities.
- [✓] Travel expenses paid for will not include expenditures for alcohol, except as permitted by the Regulations Governing Privately Sponsored Travel.

Invitees list

- [✓] A list of all Senate invitees is attached to this form (required).
- [✓] Members and staff from the House of Representatives will also receive invitations.

Travel Details (Submit additional pages as needed)

Trip Start Date/Time:	⇄	Trip End Date/Time:
Jan 5, 2024		Jan 7, 2024

Will the traveler be accompanied by a family member for whom the sponsor will pay travel expenses?

○ Yes ◉ No

Transportation (Member/Officer/Employee: $ 500 Accompanying Family Member: $_____)

Transportation Type	Class	Amount
Airfare	Coach	$350
Ground Transportation		$150

Details (optional)

ground transportation to and from Jacksonville Aiport

Lodging (Member/Officer/Employee: $ 700.82 Accompanying Family Member: $_____)

Check-In	Check-Out	Facility	City	State	Country
1/5/2024	1/7/2024	The Cloister	Sea Island	GA	US

Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.
1	$350.41	Y	The hotel rate is over the per diem rate due to the conference hotel being the only one on Sea Island

Check-In	Check-Out	Facility	City	State	Country

Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.

Check-In	Check-Out	Facility	City	State	Country

Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.

Meals (Member/Officer/Employee: $ 54.40 Accompanying Family Member: $_____)

Date	Breakfast	Lunch	Dinner	Incidentals	Total	City	State	Country	Cost Exceeds Per Diem (Y/N)
1/6	48.80	54.40	102.40		205.6	Sea Island	GA	US	Y

If costs exceed the federal per diem, please explain why expenses over the per diem rate are reasonable and necessary.

Meal costs reflect the costs inherent in a conference with high profile speakers

Reasonable Miscellaneous Expenses

(Member/Officer/Employee: $_____ Accompanying Family Member: $_____)

Expense Type	Amount	Notes

Additional Details (optional)

PRIVATELY SPONSORED TRAVEL

SPONSOR SIGNATURE PAGE

I hereby certify that the information submitted in connection with the trip listed below is true, complete, and correct to the best of my knowledge and belief.

Trip Name: Awakening

Travel Date(s): January 5-7, 2024

Travel Destination(s): Sea Island, GA

Sponsor: The Heritage Foundation

Daniel Mauler — *(printed name of sponsor representative)*

General Counsel — *(title)*

Daniel Mauler — *(signature of sponsor representative)*

12/7/2023 — *(date)*

0000000000174 RECEIVED BY: SECRETARY OF THE SENATE Date: January 29, 2024

Addendum for Heritage Foundation - Awakening

Invitee List:

Senator Tom Cotton

Senator Tim Scott

Senator Tom Tuberville

0000000000175 RECEIVED BY: SECRETARY OF THE SENATE Date: January 29, 2024

Awakening
BY THE HERITAGE FOUNDATION
2024

Thursday, January 4 – Sunday, January 7, 2024
The Cloister | Sea Island, GA
SENATOR TUBERVILLE SCHEDULE

DRIVE FROM AUBURN, ALABAMA TO SEA ISLAND

5:00 p.m. Estimated arrival at The Cloister in Sea Island, Georgia

DINNER KEYNOTE

5:45 – 8:00 p.m. **Family Dinner & Children's Stock Sale** *Cloister Ballroom*

Host: **Kevin D. Roberts, PhD**
President, The Heritage Foundation and Heritage Action

The Honorable Tommy Tuberville
United States Senate (R-AL)

Riley Gaines
Advisor, Independent Women's Forum, Former NCAA College Swimmer, and Advocate for Women's Single-sex Spaces

8:00 – 9:00 p.m. **Cordials & Conversation** *Clubroom*
Cash bar available

Saturday, January 6

6:00 a.m. Estimated departure from The Cloister in Sea Island, Georgia

DRIVE FROM SEA ISLAND TO AUBURN, ALABAMA